

PTO/SB/21 (09-06)

Under the Paperwork		ons are required to res		and Tradema	ved for use through 03/31/2007. OMB 0 ark Office; U.S. DEPARTMENT OF CO ation unless it displays a valid OMB contro	651-0031 MMERCE
			Application I		10/769,567	
TRANSMITTAL			Filing Date		January 30, 2004	
	FORM		First Named	Inventor	Noriaki FUJII	
l:			Art Unit		2609	
(to be use	d for all correspondence after	initial filing)	Examiner Na	ame	V. Coleman	
Total Number	of Pages in This Submiss	ion	Attorney Docket Number		275412002000	
	EN	CLOSURES	(Check all	that appl	ly)	
Fee Transm	nittal Form	Drawing(s)			After Allowance Communication TC	tion
Fee A	attached	Licensing-rel	ated Papers		Appeal Communication to Bo Appeals and Interferences	oard of
Amendmen	t/Reply	Petition			Appeal Communication to To (Appeal Notice, Brief, Reply Br	
After	Final	Petition to Co			Proprietary Information	
Affida	vits/declaration(s)		mey, Revocation Status Lette		Status Letter	
Extension of	of Time Request	Terminal Dis	claimer		Other Enclosure(s) (please Identify below):	
Express Abandonment Request Request for		Request for	Refund		Form PTO SB/08a/b (1 page IDS Citations (2 pages)	)
x Information	Disclosure Statement	CD, Number	of CD(s)		Return Receipt Postcard	
Certified Co	opy of Priority s)	Landso	cape Table on	CD		
	ssing Parts/ Application	Remarks				
Reply 37 CI	to Missing Parts under					
	SIGNAT	JRE OF APPLICA	ANT, ATTO	RNEY, OR	AGENT	
Firm Name	MORRISON & FOE	RSTER LLP				
Signature	Petrol 1 St	ella				
Printed name	Deborah S. Gladste	in	-			
Date	May 3, 2007			Reg. No.	43,636	



Patent Docket No. 275412002000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Noriaki FUJII

Serial No.: 10/769,567

Filing Date: January 30, 2004

For: OPTICAL PICK-UP APPARATUS

Examiner: V. Coleman

Group Art Unit: 2609

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the foreign documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO/SB/08a/b were cited in a Japanese Office Action mailed on February 27, 2007, directed to a counterpart foreign application and have not been previously cited. A certification under 37 C.F.R. § 1.97(e)(1) follows:

I hereby certify that each item of information was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Application No.: 10/769,567 2 Docket No.: 275412002000

This Supplemental Information Disclosure Statement is submitted after receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 275412002000.

Dated: May 3, 2007

Respectfully submitted,

Debbrah S. Gladstein

Registration No.: 43,636

MORRISON & FOERSTER LLP

1650 Tysons Blvd, Suite 300

McLean, Virginia 22102

(703) 760-7753





Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/769,567	
11	NFORMATIC	N DIS	CLOSURE	Filing Date	January 30, 2004	
STATEMENT BY APPLICANT				First Named Inventor	Noriaki FUJII	
			I LIOANI	Art Unit	2609	
(Use as many sheets as necessary)		Examiner Name	V. Coleman			
Sheet	1	of	1	Attorney Docket Number	275412002000	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		Applicant of Cited Document	or Relevant Figures Appear	T <sup>®</sup>
	1.	JP-07-073493-A	03-17-1995	HITACHI LTD.	Translation of Abstract	
	2.	JP-2001-307358-A	11-02-2001	NEC CORP	Translation of Abstract	

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		and or country whole persioned.	十	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.